Optics I: lenses and apertures CS 478, Winter 2012



Slides courtesy of:

Marc Levoy Computer Science Department Stanford University

Wednesday, January 11, 12

Announcements

- Class email list:
 - cs478-win1112-all@lists.stanford.edu
 - If you are auditing the course, you'll need to email the staff to get added to the mailing list:
 - cs478-win1112-staff@lists.stanford.edu
- No class on Monday -- MLK, Jr. Day
- Watch for announcement about final project in email later this week

Outline

- why study lenses?
- thin lenses
 - graphical constructions, algebraic formulae
- thick lenses
 - lenses and perspective transformations
- depth of field
- aberrations & distortion
- vignetting, glare, and other lens artifacts
- diffraction and lens quality
- special lenses
 - telephoto, zoom

Single lens reflex camera (SLR)



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Nikon F4 (film camera)

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Why not use sensors without optics?



 each point on sensor would record the integral of light arriving from every point on subject

all sensor points would record similar colors

Pinhole camera (a.k.a. *camera obscura*)



linear perspective with viewpoint at pinhole

Pinhole photography

no distortion

- straight lines remain straight
- infinite depth of field
 everything is in focus





Effect of pinhole size



(London)

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Effect of pinhole size



(London)

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Replacing the pinhole with a lens



(London)

Cutaway view of a real lens



Vivitar Series 1 90mm f/2.5 Cover photo, Kingslake, *Optics in Photography*

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Snell's law of refraction





• index of refraction n_t is defined as



speed of light in a vacuum speed of light in medium t

Typical refractive indices (n)

- ★ air = 1.0
- ♦ water = 1.33
- \bullet glass = 1.5 1.8



mirage due to changes in the index of refraction of air with temperature

Refraction in glass lenses



- when transiting from air to glass, light bends towards the normal
- when transiting from glass to air, light bends away from the normal
- light striking a surface perpendicularly does not bend

Q. What shape should an interface be to make parallel rays converge to a point?



Spherical lenses



(Hecht)

(wikipedia)

- two roughly fitting curved surfaces ground together will eventually become spherical
- spheres don't bring parallel rays to a point
 - this is called *spherical aberration*
 - nearly axial rays (paraxial rays) behave best

Examples of spherical aberration





Paraxial approximation



Paraxial approximation



Assume e ≈ 0
Assume sin u = h/l ≈ u (for u in radians)
Assume cos u ≈ z/l ≈ 1
Assume tan u ≈ sin u ≈ u

19

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The paraxial approximation is a.k.a. first-order optics

- A assume first term of sin φ = φ φ³/3! + φ⁵/5! φ⁷/7! + ...
 i.e. sin φ ≈ φ
- ★ assume first term of cos ϕ = $1 \frac{\phi^2}{2!} + \frac{\phi^4}{4!} \frac{\phi^6}{6!} + \dots$ i.e. cos $\phi \approx 1$ so tan $\phi \approx \sin \phi \approx \phi$



Paraxial focusing



Paraxial focusing



$$ni \approx n'i'$$

Paraxial focusing



$$n(u+a) \approx n'(a-u')$$

$$n(h/z+h/r) \approx n'(h/r-h/z')$$

$$n/z+n/r \approx n'/r-n'/z'$$

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✤ *h* has canceled out, so any ray from *P* will focus to *P*'

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23

 $ni \approx n'i'$

Focal length



What happens if z is ∞ ?

 $n/z + n/r \approx n'/r - n'/z'$ $n/r \approx n'/r - n'/z'$ $z' \approx (rn')/(n'-n)$

24

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Lensmaker's formula

 using similar derivations, one can extend these results to two spherical interfaces forming a lens in air



(Hecht, edited)

• as $d \rightarrow 0$ (thin lens approximation), we obtain the lensmaker's formula

$$\frac{1}{s_o} + \frac{1}{s_i} = (n_i - 1) \left(\frac{1}{R_1} - \frac{1}{R_2} \right)$$

Gaussian lens formula

Starting from the lensmaker's formula

$$\frac{1}{s_o} + \frac{1}{s_i} = (n_l - 1) \left(\frac{1}{R_1} - \frac{1}{R_2} \right), \qquad \text{(Hecht, eqn 5.15)}$$

and recalling that as object distance S₀ is moved to infinity, image distance S_i becomes focal length f_i, we get

$$\frac{1}{f_i} = (n_l - 1) \left(\frac{1}{R_1} - \frac{1}{R_2} \right).$$
 (Hecht, eqn 5.16)

Equating these two, we get the Gaussian lens formula

$$\frac{1}{s_o} + \frac{1}{s_i} = \frac{1}{f_i}.$$
 (Hecht, eqn 5.17)

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From Gauss's ray construction to the Gaussian lens formula



positive y is upward

From Gauss's ray construction to the Gaussian lens formula



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From Gauss's ray construction to the Gaussian lens formula



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Changing the focus distance

 to focus on objects at different distances, move sensor relative to lens





http://graphics.stanford.edu/courses/ cs178/applets/gaussian.html



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Changing the focus distance

 to focus on objects at different distances, move sensor relative to lens



• at
$$s_o = s_i = 2f$$

we have 1:1 imaging, because

$$\frac{1}{2f} + \frac{1}{2f} = \frac{1}{f}$$

In 1:1 imaging, if the sensor is 36mm wide, an object 36mm wide will fill the frame.



 $\frac{1}{s_o} + \frac{1}{s_i} = \frac{1}{f}$

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Changing the focus distance

 to focus on objects at different distances, move sensor relative to lens

• at
$$s_o = s_i = 2f$$

we have 1:1 imaging, because
 $\frac{1}{2f} + \frac{1}{2f} = \frac{1}{f}$

can't focus on objects
 closer to lens than its
 focal length f



Changing the focal length

- weaker lenses
 have longer
 focal lengths
- to stay in focus, move the sensor further back

 focused image of tree is located slightly beyond the focal length



(Kingslake)

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The tree would be in focus at the lens focal length only if it were infinitely far away.

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Changing the focal length

if the sensor
 size is constant,
 the field of view
 becomes smaller





 $FOV = 2 \arctan(h/2f)$

Focal length and field of view



Focal length and field of view


Changing the sensor size

if the sensor
 size is smaller,
 the field of view
 is smaller too

smaller sensors

 either have fewer
 pixels, or noiser
 pixels





(Kingslake)

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Sensor sizes

"full frame" - Canon 5D Mark II (24mm × 36mm)



Convex versus concave lenses



rays from a convex lens converge

rays from a concave lens diverge

 positive focal length f means parallel rays from the left converge to a point on the right

 negative focal length f means parallel rays from the left converge to a point on the left (dashed lines above)

Convex versus concave lenses



Convex versus concave lenses





...producing a real image

2 F_i F_o 1

...producing a virtual image

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Magnification



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Lenses perform a 3D perspective transform



- lenses transform a 3D object to a 3D image;
 the sensor extracts a 2D slice from that image
- as an object moves linearly (in Z),
 its image moves non-proportionately (in Z)
- as you move a lens linearly relative to the sensor, the in-focus object plane moves non-proportionately

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★ as you refocus a camera, the image changes size !

Lenses perform a 3D perspective transform (contents of whiteboard)



- a cube in object space is transformed by a lens into a 3D frustum in image space, with the orientations shown by the arrows
- in computer graphics this transformation is modeled as a 4 × 4 matrix multiplication of 3D points expressed in 4D homogenous coordinates
- in photography a sensor extracts a 2D slice from the 3D frustum; on this slice some objects may be sharply focused; others may be blurry

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Recap

approximations we sometimes make when analyzing lenses

- geometrical optics instead of physical optics
- spherical lenses instead of hyperbolic lenses
- thin lens representation of thick optical systems
- paraxial approximation of ray angles
- the Gaussian lens formula relates focal length, object distance, and image distance
 - changing these settings also changes magnification
 - these settings and sensor size determine field of view
 - convex lenses make real images; concave make virtual images
 - lenses perform a 3D perspective transform of object space



Depth of field

LESS DEPTH OF FIELD



MORE DEPTH OF FIELD

lower N means a wider aperture and less depth of field

Circle of confusion (C)



C depends on sensing medium, reproduction medium, viewing distance, human vision,...

- for print from 35mm film, 0.02mm (on negative) is typical
- for high-end SLR, 6µ is typical (1 pixel)
- larger if downsizing for web, or lens is poor



object image
DoF is asymmetrical around the in-focus object plane
conjugate in object space is typically bigger than C





$$D_{TOT} = D_1 + D_2 = \frac{2NCU^2 f^2}{f^4 - N^2 C^2 U^2}$$

◆ N²C²U² can be ignored when conjugate of circle of confusion is small relative to the aperture

$$D_{TOT} \approx \frac{2NCU^2}{f^2}$$

where

- N is F-number of lens
- *C* is circle of confusion (on image)
- *U* is distance to in-focus plane (in object space)
- f is focal length of lens

 $2NCU^2$ $D_{TOT} \approx$

• N = f/4.1 $C = 2.5\mu$ U = 5.9m (19') f = 73mm (equiv to 362mm) $D_{TOT} = 132mm$

• 1 pixel on this video projector $C = 2.5\mu \times 2816 / 1024$ pixels $D_{EFF} = 363$ mm

N = f/6.3 $C = 2.5\mu$ U = 17m (56') f = 27mm (equiv to 135mm) $D_{TOT} = 12.5m (41')$

1 pixel on this video projector $C = 2.5\mu \times 2816 / 1024$ pixels $D_{EFF} = 34m (113')$

• N = f/5.6 $C = 6.4\mu$ U = 0.7m f = 105mm $D_{TOT} = 3.2mm$

• 1 pixel on this video projector $C = 6.4\mu \times 5616 / 1024$ pixels $D_{EFF} = 17.5$ mm



Canon MP-E 65mm 5:1 macro

•
$$N = f/2.8$$

 $C = 6.4\mu$
 $U = 78mm$
 $f = 65mm$



(use $N' = (1 + M_T)N$ at short conjugates ($M_T = 5$ here)) = f/16 $D_{TOT} = 0.29$ mm!

(Mikhail Shlemov)

Sidelight: macro lenses







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Q. How can the Casio EX-F1 at 73mm and the Canon MP-E 65mm macro, which have similar f's, have such different focusing distances?



- macro lenses allow long si and
 - they are well corrected for aberrations at short so

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Hyperfocal distance

the back depth of field

$$D_2 = \frac{NCU^2}{f^2 - NCU}$$

becomes infinite if

$$U \ge \frac{f^2}{NC} @ H$$



• N = f/6.3 $C = 2.5\mu \times 2816 / 1024 \text{ pixels}$ U = 17m (56') f = 27mm (equiv to 135mm) $D_{TOT} = 34m \text{ on video projector}$ H = 32m (106')

In that case, the front depth of field becomes

$$D_1 = \frac{H}{2}$$



http://graphics.stanford.edu/courses/ cs178/applets/dof.html

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 so if I had focused at 32m, everything from 16m to infinity would be in focus on a video projector, including the men at 17m

Q. Does sensor size affect DoF?

 $D_{TOT} \approx \frac{2NCU^2}{f^2}$

- as sensor shrinks, lens focal length f typically shrinks to maintain a comparable field of view
- as sensor shrinks, pixel size C typically shrinks
 to maintain a comparable number of pixels in the image
- thus, depth of field *D*_{TOT} increases linearly with decreasing sensor size
- this is why amateur cinematographers are drawn to SLRs
 - their chips are larger than even pro-level video camera chips
 - so they provide unprecedented control over depth of field

DoF and the dolly-zoom

if we zoom in (change f)
 and stand further back (change U) by the same factor

$$D_{TOT} \approx \frac{2NCU^2}{f^2}$$

the depth of field at the subject stays the same!
useful for macro when you can't get close enough



Macro photography using a telephoto lens (contents of whiteboard)



- changing from a wide-angle lens to a telephoto lens and stepping back, you can make a foreground object appear the same size in both lenses
- and both lenses will have the same depth of field on that object
- but the telephoto sees a smaller part of the background (which it blows up to fill the field of view), so the background will appear blurrier

(wikipedia.org)

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Parting thoughts on DoF: the zen of *bokeb*



- the appearance of small out-of-focus features in a photograph with shallow depth of field
 - determined by the shape of the aperture
 - people get religious about it
 - but not every picture with shallow DoF has evident bokeh...

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Games with bokeh



- picture by Alice Che (CS 178, 2010)
 - heart-shaped mask in front of lens
 - subject was Christmas lights
 - photograph was misfocused and under-exposed

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Parting thoughts on DoF: seeing through occlusions



(Fredo Durand)

- depth of field is not a convolution of the image
 - i.e. not the same as blurring in Photoshop
 - DoF lets you eliminate occlusions, like a chain-link fence

Seeing through occlusions using a large aperture (contents of whiteboard)



- for a pixel focused on the subject, some of its rays will strike the occluder, but some will pass to the side of it, if the occluder is small enough
- the pixel will then be a mixture of the colors of the subject and occluder
- thus, the occluder reduces the contrast of your image of the subject, but it doesn't actually block your view of it

Tradeoffs affecting depth of field



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65

(Eddy Talvala)

Recap

◆ depth of field (*D*_{TOT}) is governed by circle of confusion (*C*), aperture size (*N*), subject distance (*U*), and focal length (*f*)

$$D_{TOT} \approx \frac{2NCU}{f^2}$$

- depth of field is linear in some terms and quadratic in others
- if you focus at the hyperfocal distance $H = f^2 / NC$, everything from H/2 to infinity will be in focus
- depth of field increases linearly with decreasing sensor size
- useful sidelights
 - bokeh refers to the appearance of small out-of-focus features
 - you can take macro photographs using a telephoto lens
 - depth of field blur is not the same as blurring an image



Optics II: practical photographic lenses





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Marc Levoy Computer Science Department Stanford University

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Outline

- why study lenses?
- thin lenses
 - graphical constructions, algebraic formulae
- thick lenses
 - center of perspective, 3D perspective transformations
- depth of field
- aberrations & distortion
- vignetting, glare, and other lens artifacts
- diffraction and lens quality
- special lenses
 - telephoto, zoom

Lens aberrations

- chromatic aberrations
- Seidel aberrations, a.k.a. 3rd order aberrations
 arise because of error in our 1st order approximation

$$\sin \phi \approx \phi \left(-\frac{\phi^3}{3!} + \frac{\phi^5}{5!} - \frac{\phi^7}{7!} + ... \right)$$

- spherical aberration
- oblique aberrations
- field curvature
- distortion

Dispersion



- index of refraction varies with wavelength
 - higher dispersion means more variation
 - amount of variation depends on material
 - index is typically higher for blue than red
 - so blue light bends more



- dispersion causes focal length to vary with wavelength
 for convex lens, blue focal length is shorter
- correct using achromatic doublet
 - strong positive lens + weak negative lens = weak positive compound lens
 - by adjusting dispersions, can correct at two wavelengths

The chromatic aberrations





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- change in focus with wavelength
 - called longitudinal (axial) chromatic aberration
 - appears everywhere in the image
- ✤ if blue image is closer to lens, it will also be smaller
 - called lateral (transverse) chromatic aberration
 - only appears at edges of images, not in the center
 - can reduce longitudinal by closing down the aperture
Examples

correctable
 in software



(toothwalker.org)

(wikipedia)



longitudinal

other possible causes
demosiacing algorithm
per-pixel microlenses

lens flare

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Software correction of lateral chromatic aberration

4 Color plane specific





Lateral chromatic aberration

DxO Optics Pro Correction

Sony F828

Distortion affects different parts of the color spectrum differently (prism effect) and creates the so called "lateral chromatic aberration", which results in color fringes arround high/low-light transitions. With the ever increasing sensor resolutions, lateral chromatic aberration becomes more and more visible, in turn making it more and more important to precisely address distortion for each color plane.



- Panasonic GF1 corrects for chromatic aberration in the camera (or in Adobe Camera Raw)
 - need focal length of lens, and focus setting

Q. Why don't humans see chromatic aberration?

Spherical aberration



focus varies with ray height (distance from optical axis)

- can reduce by stopping down the aperture
- can correct using an aspherical lens
- can correct for this and chromatic aberration
 by combining with a concave lens of a different index

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Examples



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Canon 135mm f/2.8 soft focus lens

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77

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✦ Canon 50mm f/1.2 L

(wikipedia)





focused at f/1.2

(diglloyd.com)

78

(wikipedia)

Focus shift



shot at f/1.8

✦ Canon 50mm f/1.2 L

narrowing the aperture pushed the focus deeper

(diglloyd.com)

Oblique aberrations

lateral chromatic aberrations do not appear in center of field

- they get worse with increasing distance from the optical axis
- cannot reduce by closing down the aperture
- longitudinal chromatic & spherical aberrations occur everywhere in the field of view
 - on and off the optical axis
 - can reduce by closing down the aperture
- oblique aberrations do not appear in center of field
 - they get worse with increasing distance from the optical axis
 - can reduce by closing down the aperture
 - coma and astigmatism

Coma



Astigmatism



tangential and sagittal rays focus at different depths

Field curvature



 spherical lenses focus a curved surface in object space onto a curved surface in image space

 so a plane in object space cannot be everywhere in focus when imaged by a planar sensor

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pincushion distortion

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change in magnification with image position
(a) pincushion
(b) barrel

closing down the aperture does not improve this

Algebraic formulation of monochromatic lens aberrations



Recap

all lenses are subject to chromatic aberration

- longitudinal appears everywhere; lateral is worse at edges
- only longitudinal can be reduced by closing down aperture
- both can be partly corrected using more lenses, and lateral can be partly corrected using software
- all <u>spherical</u> lenses are subject to Seidel aberrations: spherical, coma, astigatism, field curvature, distortion
 - some appear everywhere; others only at edges
 - all but distortion can be reduced by closing down aperture
 - only distortion can be corrected completely in software



Lens design software





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uses optimization to make good recipes better

Lens catalogs and patents



hard to find optical recipe for commercial camera lenses

Lens combinations: telephoto



telephoto (a) reduces the back focal distance B.F. relative to f
for long focal length lenses, to reduce their physical size

- + reversed telephoto (b) increases B.F. relative to f
 - for wide-angle lenses, to ensure room for the reflex mirror

Telephoto lens

 the blue lens is replaced with the two green ones, thereby reducing the physical size of the lens assembly, while preserving its focal length (hence magnification)



Lens combinations: telephoto



Nikon 500mm telephoto

Opteka 500mm <u>non</u>-telephoto



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 called *optically compensated zoom*, because the in-focus plane stays (more or less) stationary as you zoom

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to change focus, you move both lenses together

Recap

telephoto lenses separate focal length & back focal distance

- for long focal length lenses, to reduce their physical size
- for wide-angle lenses, to ensure room for the reflex mirror







- contrast reduction caused by stray reflections
- can be reduced by anti-reflection coatings
 - based on interference, so optimized for one wavelength
 - to cover more wavelengths, use multiple coatings

Camera array with too much glare

Stanford Multi-Camera Array



12 × 8 array of 600 × 800 pixel webcams = 7,200 × 6,400 pixels
goal was highest-resolution movie camera in the world
failed because glare in inexpensive lenses led to poor contrast © Marc Levoy

Removing veiling glare computationally [Talvala, Proc. SIGGRAPH 2007]



Flare and ghost images



- reflections of the aperture, lens boundaries, etc.,
 i.e. things inside the camera body
- removing these artifacts is an active area of research in computational photography
- but it's a hard problem

Vignetting (a.k.a. natural vignetting)



- irradiance is proportional to projected area of aperture as seen from pixel on sensor, which drops as $\cos \theta$
- irradiance is proportional to projected area of pixel as seen from aperture, which also drops as cos θ
- irradiance is proportional to distance² from aperture to pixel, which rises as $1/\cos \theta$
- combining all these effects, light drops as $\cos^4 \theta$

Other sources of vignetting



optical vignetting from multiple lens elements, especially at wide apertures mechanical vignetting from add-on lens hoods (or filters or fingers)

 pixel vignetting due to shadowing inside each pixel (we'll come back to this)



- (toothwalker.org)
- vignetting affects the *bokeh* of out-of-focus features
- vignetting is correctable in software (except for bokeh effects), but boosting pixel values worsens noise

© Marc Levoy

vignetting can be appled afterwards, for artistic purposes

Diffraction



illuminated by a (spread-out) laser beam & recorded directly on film

varying the wavelength of waves passing through a slit in a ripple tank







© Marc Levoy

(Hecht)

100

 as wavelength decreases in the ripple tank, propagation becomes more ray-like

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Diffraction



illuminated by a (spread-out) laser beam & recorded directly on film

(a)

destructive

Interference

(c)

constructive

interference

© Marc Levoy

varying the wavelength of waves passing through a slit in a ripple tank

(Hecht)

101

 as wavelength decreases in the ripple tank, propagation becomes more ray-like

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Diffraction



illuminated by a (spread-out) laser beam & recorded directly on film

(a)

(c)

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varying the wavelength of waves passing through a slit in a ripple tank

(Hecht)

102

 as wavelength decreases in the ripple tank, propagation becomes more ray-like

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Airy rings



diffraction from a slit

diffraction from a circular aperture: Airy rings

if the illumination were a laser, a lens would produce this pattern

but considering all wavelengths, the dark rings vanish, leaving a blur

103

(Hecht)

Diffraction in photographic cameras

- ♦ well-corrected lenses are called
 ∂iffraction-limite∂
- the smaller the aperture (A)
 (or the longer the wavelength),
 the larger the diffraction blur
- the longer the distance to the sensor (f),
 the larger the blur
- thus, the size of the blur varies with N = f/A









Effect of pinhole size



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Diffraction in photographic cameras

the smaller the pixels, the more of them the pattern covers
if the pattern spans >> 1 pixel, we begin to complain

| | Aperture | Camera Type | Pixel Area | | | |
|--|----------|-----------------------|----------------------|----------|-----------------------|----------------------|
| | f/2.0 | Canon EOS 1D | 136. µm² | | | |
| | f/2.8 | Canon EOS 1Ds | 77.6 µm ² | | | |
| | f/4.0 | Canon EOS 1DMkII / 5D | 67.1 μm ² | | | |
| | f/5.6 | Nikon D70 | 61.1 µm ² | | | |
| | f/8.0 | Canon EOS 10D | | Aperture | Camera Type | Pixel Area |
| | f/11 | Canon EOS 1DsMkII | | f/2.0 | Canon FOS 1D | 136 µm ² |
| | f/16 | Canon EOS 20D / 35 | | f/2.8 | Canon EOS 1Ds | 77.6 µm ² |
| | f/22 | Nikon D2X | | f/4.0 | Canon EOS 1DMkII / 5D | 67.1 µm ² |
| | f/32 | Canon PowerShot G | | f/5.6 | Nikon D70 | 61.1 µm ² |
| | | | | f/8.0 | Canon EOS 10D | 54.6 µm ² |
| | | | | f/11 | Canon EOS 1DsMkII | 52.0 µm ² |
| | | | | f/16 | Canon EOS 20D / 350D | 41.2 µm ² |
| | | 100000 | | f/22 | Nikon D2X | 30.9 µm ² |
| | | | | | | |

(http://www.cambridgeincolour.com/tutorials/diffraction-photography.htm)

f/32

Canon PowerShot G6

107

5.46 µm

The Abbe diffraction limit

$$d = \frac{.61\,\lambda}{NA} \approx 1.2\,N\,\lambda$$

where

- λ = wavelength
- NA = numerical aperture $\approx 1/2N$

<u>Example</u>: iPhone 4 when looking at green

- $\lambda = 550$ nm
- N = f/3
- $d = 2\mu$
- pixels are 1.75µ wide, so the iPhone 4 would be roughly diffraction-limited if its lenses were free of aberrations

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Recap

- all optical systems suffer from veiling glare
 - anti-reflection coatings help
- all optical systems suffer from flare and ghosts
 don't point your camera at bright lights; use lens hoods
- vignetting arises from many sources
 - natural falloff at the edges of wide sensors
 - optical caused by apertures, lens barrels
 - mechanical caused by wrong lens hoods, hands, straps
 - pixel caused by shadowing inside pixel structures
- diffraction blur that varies with N = f/A
 - avoid F-numbers above f/16 (for full-frame camera)
 - subjective image quality depends on both sharpness and contrast



109

Slide credits

- ✤ Marc Levoy
- Steve Marschner
- Fredo Durand
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